

ATR microspectroscopy with multivariate analysis segregates grades of exfoliative cervical cytology

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Abstract

Although cervical cancer screening in the UK has led to reductions in the incidence of invasive disease, this programme remains flawed. We set out to examine the potential of infrared (IR) microspectroscopy to allow the profiling of cellular biochemical constituents associated with disease progression. Attenuated total reflection-Fourier Transform IR (ATR) microspectroscopy was employed to interrogate spectral differences between samples of exfoliative cervical cytology collected into liquid based cytology (LBC). These were histologically characterised as normal ($n = 5$), low-grade ($n = 5$), high-grade ($n = 5$) or severe dyskaryosis (? carcinoma) ($n = 5$). Examination of resultant spectra was coupled with principal component analysis (PCA) and subsequent linear discriminant analysis (LDA). The interrogation of LBC samples using ATR microspectroscopy with PCA–LDA facilitated the discrimination of different categories of exfoliative cytology and allowed the identification of potential biomarkers of abnormality; these occurred prominently in the IR spectral region 1200 cm^{-1} – 950 cm^{-1} consisting of carbohydrates, phosphate, and glycogen. Shifts in the centroids of amide I ($\approx 1650\text{ cm}^{-1}$) and II ($\approx 1530\text{ cm}^{-1}$) absorbance bands, indicating conformational changes to the secondary structure of intracellular proteins and associated with increasing disease progression, were also noted. This work demonstrates the potential of ATR microspectroscopy coupled with multivariate analysis to be an objective alternative to routine cytology.

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The introduction of cervical cancer screening in the UK has led to significant reductions in both incidence and mortality from this disease [1]. It meets many prerequisites required for a screening programme in that it facilitates the detection of treatable pre-malignant stages before invasion occurs [2]. However, due to inadequacies in specificity and sensitivity, current screening practices remain flawed. Best screening tests are those which have a high level of

sensitivity (i.e., positive when there is disease) and best confirmatory diagnostic tests are those that have high levels of specificity (i.e., negative when disease is not present) [3].

Pre-malignant pathology in the cervix is designated in the UK as cervical intra-epithelial neoplasia (CIN) and with increasing severity, graded as CIN1, CIN2 or CIN3. In other regions (e.g., USA) CIN1 is also known as a low-grade squamous intraepithelial lesion (LSIL); such lesions may regress and often do not require treatment. Likewise, CIN2 and CIN3 may be known as high-grade squamous intraepithelial lesion (HSIL); this categorisation

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of pathology requires treatment upon diagnosis [4]. Liquid based cytology (LBC) in cervical cancer screening is now used in the UK. This differs from the conventional technique that involves smearing exfoliated cells directly onto a glass slide [5]. Exfoliated cells may now be transferred into a fixative known as ThinPrep (Cytec Corporation) or SurePath (TriPath Care Technologies); LBC is used to reduce occurrence of inadequate smears [6]. Misdiagnosis can occur due to lack of training, poor management or tiredness [7].

Infrared (IR) microspectroscopy appears to be applicable in cervical cancer diagnostics [8–10]; this allows the acquisition of a “biochemical-cell fingerprint” [11]. Biomolecules absorb in the mid-IR (2–20 μm), giving vibrational spectra that contain absorbance intensity peaks corresponding to different chemical bonds [8–22]. Thus IR microspectroscopy can allow the detection of DNA, RNA, lipids, phosphate and carbohydrate levels [11]. This fingerprint appears to increasingly alter as a cell progresses through pre-malignant stages from normal to invasive disease [8]. The identification of an absorbance intensity peak or ratio of peaks may allow the identification of a biomarker for pre-malignancy or cancer [12]. Attenuated total reflection-Fourier Transform IR (ATR) microspectroscopy allows the fast acquisition of spectra with a high signal-to-noise ratio (SNR) and allows the interrogation of individual groups of cells, high-density samples and complex cell membranes [12]. Photothermal microspectroscopy (PTMS) is another mid-IR method, currently in development, that appears capable of achieving sub-cellular resolution [11,23,24].

We employed ATR microspectroscopy to determine whether we could segregate spectra derived from different categories of exfoliative cytology. LBC samples were obtained from 20 donors and 10 spectra were derived per sample (200 spectra in total). The samples fell into four categories: normal ($n = 5$ donors), low-grade (CIN1, $n = 5$), high-grade (CIN2/3, $n = 5$), and severe dyskaryosis (? carcinoma, $n = 5$). Our aim was to determine whether a spectral fingerprint of exfoliative cytology in LBC ThinPrep fixative could be employed to identify differences associated with pre-malignant stages of cervical cancer and, whether these could be discriminated using multivariate data analysis.

Methods

Study samples. Samples of exfoliative cytology were collected into the ThinPrep fixative (Preserv Cyt™ solution, Cytec Corp., Boxborough, MA, USA). Informed consent to obtain samples for research was obtained (LREC No. 05/Q1308/2; Preston, Chorley and South Ribble Ethical Committee).

LBC clean-up for ATR microspectroscopy. Exfoliated cytology samples in ThinPrep fixative were centrifuged at 1500 rpm for 5 min. ThinPrep fixative, which was found to possess an IR spectral signature in the 900 cm^{-1} –1800 cm^{-1} region of interest (see Supplemental data), was aspirated carefully leaving behind the residual cell pellet. Three wash steps were subsequently conducted; these each consisted of (1) the addition of 3 ml of autoclaved H_2O , (2) re-suspension of cellular material, (3)

centrifugation at 1500 rpm for 5 min and (4) aspiration of supernatant. This clean-up procedure was found to eliminate the ThinPrep spectral signature from the sample. The resultant pellet was re-suspended in 0.5 ml of autoclaved H_2O and this cellular suspension was then applied to 1 cm \times 1 cm Low-E glass microscope slides (Kevley Technologies, Chesterland, OH, USA). Allowed to dry in a sterile environment overnight, the microscope slides with adhered cellular material were then transferred to a dessicator until analysis with ATR microspectroscopy.

ATR microspectroscopy. ATR IR spectra were acquired using the Bruker Vector 22 FTIR spectrometer with Helios ATR attachment containing a diamond crystal (Bruker Optics Ltd, Coventry, UK). Using a CCTV camera attached to the ATR crystal, 10 random points were interrogated. Data were collected in ATR mode and spectra (8 cm^{-1} spectral resolution, co-added for 32 scans) were converted into absorbance using Bruker OPUS software. Sodium dodecyl sulphate was used to clean the ATR crystal prior to the first spectral analysis of each sample. Each spectrum had background absorption automatically subtracted, were baseline corrected and were normalised to the amide I ($\approx 1650 \text{ cm}^{-1}$) absorbance peak using OPUS software. Average spectra were also derived using OPUS software.

Statistical analysis. Raw spectra were processed employing principal component analysis (PCA) performed using the Pirouette software package (Infometrix Inc., Woodinville, USA). Grouping of spectra into clusters and the extent to which these clusters correspond to classes of sample is derived. PCA is built on the assumption that variation implies information: it replaces the original several hundred wavenumbers with just a very few significant new variables or principal components (PCs). PCs are automatically listed in order, according to how much of the original data variance is accounted for by each one. For each spectrum obtained, each of the many readings (one for each wavenumber) is replaced by a “score,” one for each PC. Thus in a scores plot, each set of measurements (spectrum) appears as a single point in n -dimensional space, whose coordinates are its scores on the one, two or more significant PCs chosen as axes for the plot. PCAs allow one to identify the wavenumbers that contribute to inter-spectral variation: plots showing the extent to which each wavenumber contributes to a given PC provide the necessary pseudo-spectrum or loadings plot.

As suggested by Fearn [25], we used PCA for preliminary data reduction and processed the output using linear discriminant analysis (LDA). In LDA, new variables are found such that the ratio of the between-cluster variance to the within-cluster variance is maximised, so that the clusters appear at maximum separation. LDA has the additional advantage in that it allows the choice of predetermined classes (i.e., category of cytology or identity of patient) to be taken into account during the derivation of clusters and loading plots.

We analysed for differences between the different categories of cytology (class variable determined by category) and for differences within different categories (i.e., between different patients in the same category: class variable determined by patient). Scores plots were derived for the spectral region 1850 cm^{-1} –900 cm^{-1} .

Results and discussion

Differences between normal, low-grade, high-grade, and severe dyskaryosis (? carcinoma) exfoliative cytology were observed in IR spectra ($\approx 1850 \text{ cm}^{-1}$ –900 cm^{-1}) (Fig. 1A). Comparison of these ATR spectra derived from different LBC categories suggested that differences were concentrated between $\approx 1200 \text{ cm}^{-1}$ and 950 cm^{-1} . These were associated with a glycogen peak ($\approx 1030 \text{ cm}^{-1}$), a carbohydrate peak ($\approx 1155 \text{ cm}^{-1}$), a phosphate peak ($\approx 1080 \text{ cm}^{-1}$) and degree of protein phosphorylation ($\approx 970 \text{ cm}^{-1}$). There also appeared to be a progressive shift in the centroid of the amide I peak ($\approx 1650 \text{ cm}^{-1}$) of low-grade, high-grade, and dyskaryosis (? carcinoma) spectra (Fig. 1B and C). Such

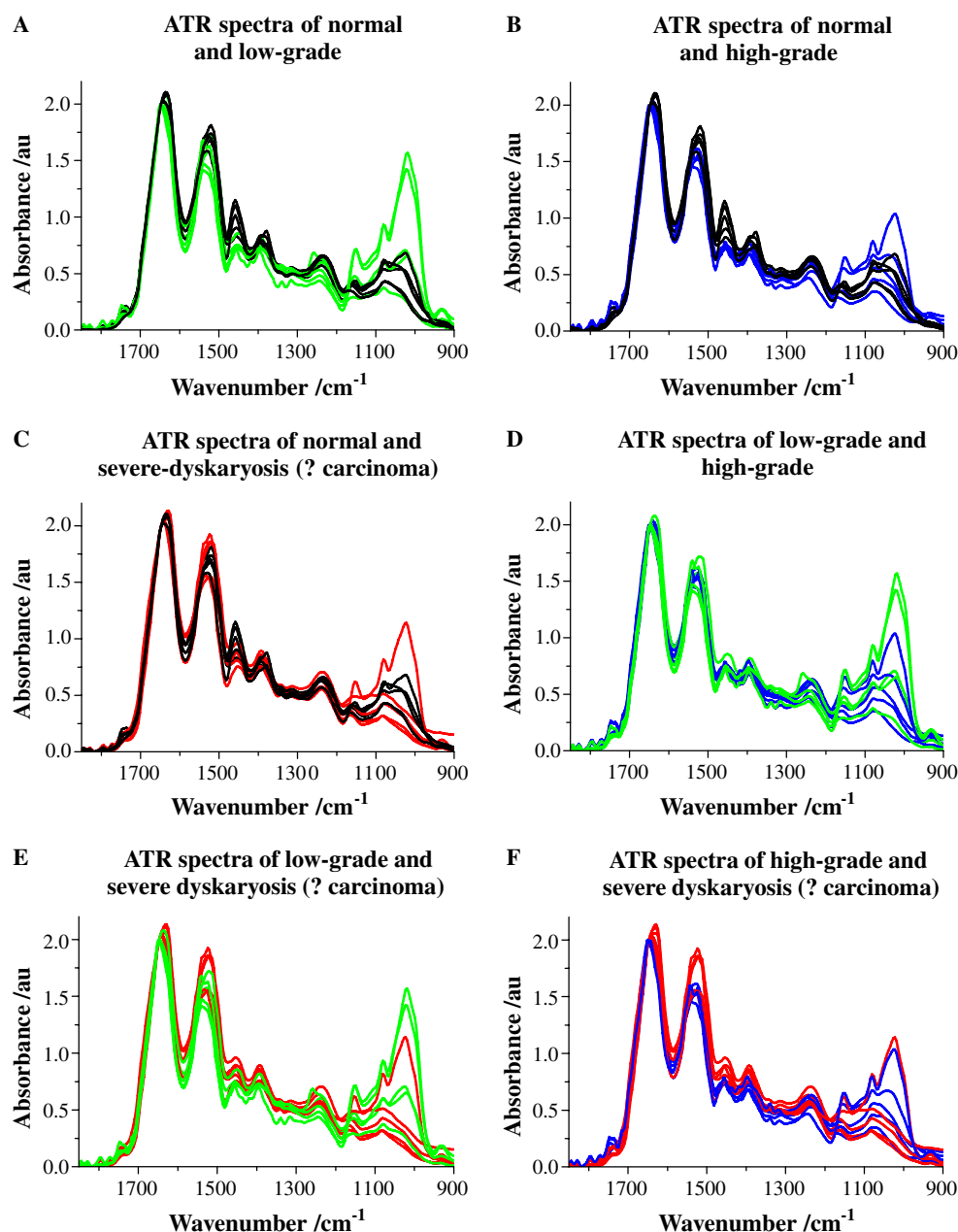


Fig. 1. Average absorbance spectra of the biochemical-cell fingerprint region (1850 cm^{-1} – 900 cm^{-1}) comparing all four categories of exfoliative cytology: (A) normal (black) and low-grade (green); (B) normal and high-grade (blue); (C) normal and severe dyskaryosis (? carcinoma, red); (D) low-grade and high-grade; (E) low-grade and severe dyskaryosis (? carcinoma); and (F) high-grade and severe dyskaryosis (? carcinoma).

a shift in the amide I absorbance band ($\approx 5\text{ cm}^{-1}$) may be due to changes in the secondary structure of intracellular proteins. The amide II absorbance band also appeared to exhibit a large degree of variation, with a reduced intensity associated with low-grade and high-grade compared to normal (Fig. 1B and C) and a striking reduction in the comparison of high grade and severe dyskaryosis (? carcinoma) (Fig. 1F).

Fig. 2 shows scores plots calculated from data classed by was patient. The different patient clusters show excellent separation. Even within a given category, there is marked

inter-patient variation: this is not surprising, given that the variables that distinguish the various patients include not only the category of cytology, but other confounding factors. Spectra from different categories of cytology separated significantly and this is particularly clear when normal and low-grade exfoliative cytology was examined (Fig. 2A). Good separation was also observed when comparing normal patient clusters to high-grade or dyskaryosis (? carcinoma) patient clusters (Fig. 2B and C). When low-grade samples were compared with high-grade, separation of the low-grade patient clusters was observed despite the

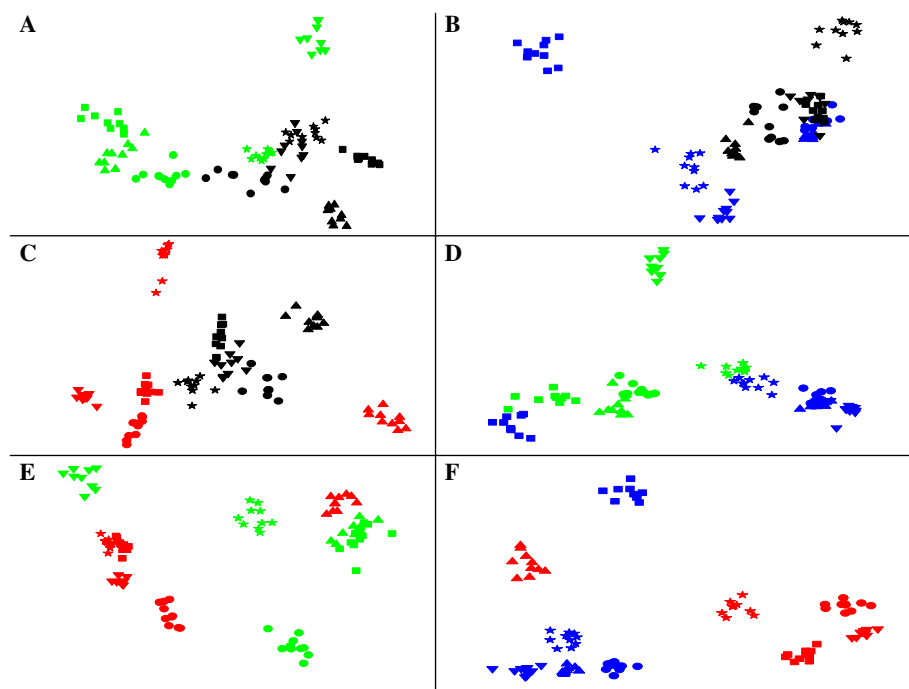


Fig. 2. PCA-LDA scores plots, classed by patient (each symbol represents data from one patient, and each point corresponds to one spectrum): (A) normal (black) and low-grade (green); (B) normal and high-grade (blue); (C) normal and severe dyskaryosis (? carcinoma, red); (D) low-grade and high-grade; (E) low-grade and severe dyskaryosis (? carcinoma); (F) high-grade and severe dyskaryosis (? carcinoma).

fact that high-grade spectra exhibited a degree of heterogeneity as shown by some separation between different patient spectral groups within this category (Fig. 2D). There was again separation between low-grade and severe dyskaryosis (? carcinoma) patient clusters (Fig. 2E). Spectra from high-grade samples were also observed to cluster separately from severe dyskaryosis (? carcinoma) samples (Fig. 2F).

PCA-LDA yielded loadings plots that identify the principal wavenumbers responsible for spectral separation. The data were classed by category of cytology, without distinction between patients within a given category. The most informative loadings plots were those that resulted from analysis of two categories at a time, where each curve shows the principal wavenumbers at which mean spectra from all samples in each of the categories of exfoliative cytology differ (Fig. 3A–F). Fig. 4A shows the PCA-LDA scores plot, as in Fig. 2, but in this instance, all the derived spectra ($n = 200$) from the cohort of donor samples ($n = 20$) analysed were classed by category of cytology and were viewed according to maximum clustering. Some degree of cluster separation is seen, but probably as a consequence of inter-individual differences this does not result in complete segregation. In addition, Fig. 4B shows the loadings plots derived from analyses where the spectra from each LBC category were compared with the mean of all spectra.

Possible biomarkers of progression were identified. In general, the greatest degree of variance was accounted for by absorbance bands associated with the degree of protein

phosphorylation at 970 cm^{-1} , glycogen at 1030 cm^{-1} , and shifts in the centroid of amide I $\approx 1650\text{ cm}^{-1}$, indicating their important contribution to cluster separation. Categories including normal showed evidence of significance variance in the 1400 cm^{-1} – 1650 cm^{-1} region; however, with low-grade, high-grade and severe dyskaryosis (? carcinoma) cytology additional significant peaks were observed between 950 cm^{-1} and 1300 cm^{-1} (Fig. 4B). When normal was compared to low-grade, wavenumbers at 970 cm^{-1} , 1030 cm^{-1} and 1080 cm^{-1} were particularly significant causes of variance (Fig. 3A); compared to high grade, variance was noted at 970 cm^{-1} , 1030 cm^{-1} and 1530 cm^{-1} (Fig. 3B); and compared to severe dyskaryosis (? carcinoma), significant variance was noted throughout the 900 cm^{-1} – 1850 cm^{-1} spectral region (Fig. 3C). Again, when low-grade was compared to high-grade cytology the most significant variance was concentrated in the 950 cm^{-1} – 1200 cm^{-1} spectral region (Fig. 3D) whereas when low-grade versus severe dyskaryosis (? carcinoma) was examined, significant variance was noted throughout the 900 cm^{-1} – 1850 cm^{-1} spectral region (Fig. 3E). Of note is the fact that variance between normal and low-grade is much greater than that observed between low-grade and high-grade; this might suggest that the former transition is the more important step towards transformation. When high-grade cytology was compared to severe dyskaryosis (? carcinoma), significant variance was observed mostly in the 950 cm^{-1} – 1200 cm^{-1} spectral region (Fig. 3F).

Likely biomarkers of severe dyskaryosis (? carcinoma) were also identified. A significant peak at 1030 cm^{-1} , due

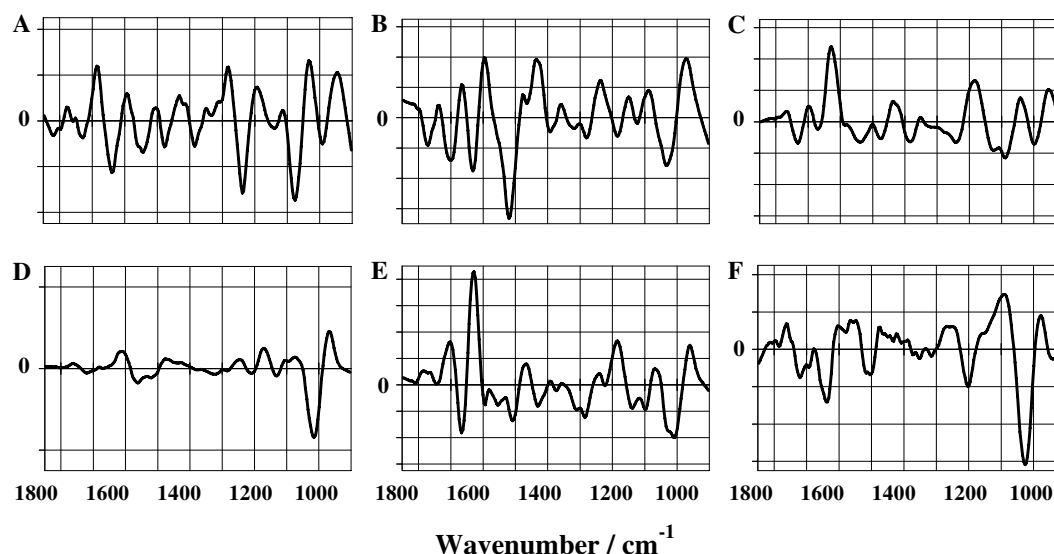


Fig. 3. Loadings plots of spectra averaged and classed by category, analysed by PCA–LDA two categories at a time. Each curve shows the principal wavenumbers at which mean spectra from all samples in each of two categories of exfoliative cytology differ: (A) normal versus low-grade; (B) normal versus high-grade; (C) normal versus severe dyskaryosis (? carcinoma); (D) low-grade versus high-grade; (E) low-grade versus severe dyskaryosis (? carcinoma); (F) high-grade versus severe dyskaryosis (? carcinoma). The same y-scale (in arbitrary units) is used for each plot, as a measure of how much variation each wavenumber contributes to the relevant cluster vector.

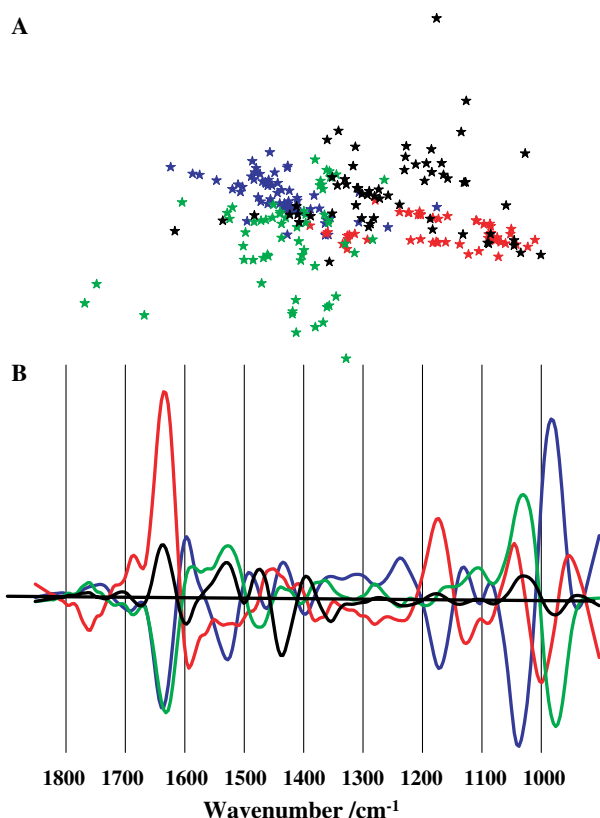


Fig. 4. Data from samples in all four categories of exfoliative cytology, averaged and classed by category before analysis by PCA–LDA: (A) PCA–LDA scores plot, as in Fig. 2 but classed by category (black, normal; green, low-grade; blue, high-grade; red, severe dyskaryosis (? carcinoma)); (B) loadings plots, where spectra from each category are compared with the mean of all spectra (black, normal; green, low-grade; blue, high-grade; red, severe dyskaryosis (? carcinoma)).

to glycogen, was observed when comparing high-grade cytology to severe dyskaryosis (? carcinoma) (Fig. 3F). Amide I and amide II were also shown to be important contributors to variance in severe dyskaryosis (? carcinoma) comparisons, with peaks observed between 1650 cm^{-1} and 1550 cm^{-1} (Fig. 3C, E, and F). Loadings plots comparing severe dyskaryosis (? carcinoma) against all categories showed variance throughout the spectral region (Fig. 4B).

With PCA alone, spectral separation was achieved and was associated with peaks corresponding to amide I ($\approx 1650\text{ cm}^{-1}$), amide II ($\approx 1540\text{ cm}^{-1}$), carbohydrates ($\approx 1155\text{ cm}^{-1}$), symmetric phosphate ($\approx 1080\text{ cm}^{-1}$) and glycogen ($\approx 1030\text{ cm}^{-1}$) (data not shown). Symmetric phosphate and glycogen were the dominating factors in spectral segregation. Of note was the fact that protein phosphorylation ($\approx 970\text{ cm}^{-1}$) was not observed to contribute to variance although, using PCA–LDA this became a major contributing factor. PCA–LDA gives clearer clustering and separation compared to PCA alone and does this employing a specific algorithm. With a PCA scores plot, the user is required to make a selection of PCs and to rotate the plot in order to identify the best view; this is not a fully objective procedure. PCA–LDA allows the spectral features responsible for cluster separation between designated classes to be objectively found. This may identify factors underlying inter-category differences and those that might point to variance between samples within a category.

Cervical smears consist of squamous and columnar epithelial cells; however, other interfering factors are often present, e.g., erythrocytes, leukocytes, bacteria, yeast, and mucin [26]. The presence of these may alter the composi-

tion of derived IR spectra [27]. However, using ATR microspectroscopy we obtained excellent segregation of different categories of exfoliative cervical cytology with minimal sample preparation. ATR microspectroscopy is adaptable for high-throughput analysis with minimal sample preparation. The ATR crystal covers a $\approx 250 \mu\text{m} \times 250 \mu\text{m}$ surface area and thus, acquired spectra provide a representative analysis of the whole smear [28]. The possibility to perform *in vivo* tissue analysis also exists [29–31]. Our findings using ATR microspectroscopy coupled with PCA–LDA suggests a novel, objective alternative to current visual screening techniques for diagnosis of exfoliative cytology.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at [doi:10.1016/j.bbrc.2006.11.005](https://doi.org/10.1016/j.bbrc.2006.11.005).

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